

PATENT  
ATTORNEY DOCKET NO.: 048289-5003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Confirmation No.: 8771
	)	
John M. MARYNOWSKI et al.	)	Group Art Unit: 3624
	)	
Application No.: 09/618,222	)	Examiner: Daniel S. Felten
	)	
Filed: July 18, 2000	)	
	)	
For: AN AUTOMATED TRADING SYSTEM	)	
IN AN ELECTRONIC TRADING	)	
EXCHANGE	)	

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

This Information Disclosure Statement is being filed after the events recited in § 1.97(b) but, to the undersigned's knowledge, before the mailing date of a Final Office Action, a Notice of Allowance, or another action that closes prosecution in the above-referenced application. Under the provisions of 37 C.F.R. § 1.97(c), a fee of \$180.00 accompanies this Information Disclosure Statement as specified in 37 C.F.R. § 1.17(p).

Copies of the listed documents that are not U.S. patents are provided herewith.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached PTO 1449 form.

03/16/2006 HALLI11 00000022 500310 09618222  
01 FC:1806 180.00 DA

Applicants respectfully request that the Examiner consider the above-listed documents.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "Prior Art." If it should be determined that any of the listed documents do not constitute "Prior Art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.


If there is any fee due in connection with the filing of this Information Disclosure Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

Dated: March 14, 2006

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# INFORMATION DISCLOSURE CITATION

Attorney Docket No.  
048289-5003

Application No.:  
09/618,222

Applicant(s): John M. **MARYNOWSKI**, *et al.*

PAGE 1 of 2

Filing Date: July 18, 2000

Group Art Unit: 3624

PTO Form 1449

## U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
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## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub Class	Translation YES NO	
WO 90 10910	09-1990	PCT				
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Barone-Asesi, G. and Whaley, R., "Efficient Analytic Approximation of American Option Values", Journal of Finance., Vol. 42, No. 2 (June 1987), pp. 301-320.
	Web-Pop (Professional Options Package) (www.pmpublishing.com)

Examiner

Date Considered

Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Attorney Docket No.  
048289-5003Application No.:  
09/618,222Applicant(s): John M. **MARYNOWSKI**, *et al.***PAGE 2 of 2**

Filing Date: July 18, 2000

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**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Sub Class	<u>Translation</u> YES NO	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


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